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Quintets: a Sequence of Nested Neighborhoods of the Structure Invariant

$$\Phi_h + \Phi_k + \Phi_l + \Phi_m + \Phi_n$$

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A sequence of nested neighborhoods of the structure invariant $\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n$ is derived. Each neighborhood is a subset of the succeeding ones and consists of the small number of structure factor magnitudes $|E|$ upon which, in favorable cases, the value of φ mostly depends.

1. Introduction

Although the neighborhood concept was introduced only a year ago (Hauptman, 1975a, b), its important role in identifying the small set of magnitudes $|E|$ on which the value of a given structure invariant or seminvariant φ mostly depends is now firmly established (Hauptman, 1976; Green & Hauptman, 1976). In the present paper a sequence of nested neighborhoods of the five-phase structure invariant $\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n$ is obtained. In subsequent work the related probability distributions are derived, and these in turn lead to explicit estimates for φ in terms of magnitudes $|E|$. In the accompanying papers (Fortier & Hauptman, 1977; Hauptman & Fortier, 1977) the conditional probability distribution of φ , given the 15 magnitudes in the second neighborhood, is derived for the space group $P\bar{1}$.

2. The first neighborhood

Let h, k, l, m, n be reciprocal vectors which satisfy

$$\mathbf{h} + \mathbf{k} + \mathbf{l} + \mathbf{m} + \mathbf{n} = \mathbf{0}. \quad (2.1)$$

Then the linear combination of phases

$$\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n \quad (2.2)$$

is a structure invariant. In analogy with earlier work (Hauptman, 1975a, b) the first neighborhood of φ is defined to consist of the five magnitudes

$$|E_h|, |E_k|, |E_l|, |E_m|, |E_n|, \quad (2.3)$$

shown schematically as the first shell in Fig. 1. [Also see Schenk (1975) for the identity of the first two neighborhoods.]

3. The second neighborhood

Assume that the six magnitudes

$$|E_h|, |E_k|, |E_l|, |E_m|, |E_n|, |E_{h+k}| \quad (3.1)$$

are all large. Then it is known that the structure invariant

$$\varphi_h + \varphi_k + \varphi_{-h-k} \simeq 0. \quad (3.2)$$

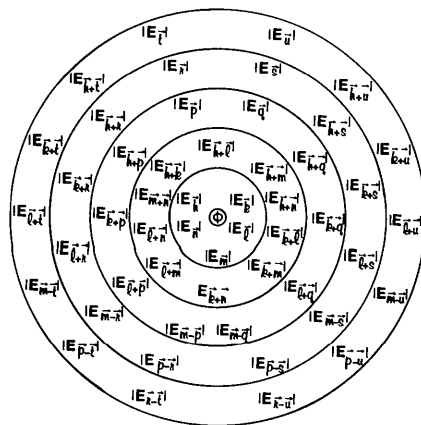


Fig. 1. A sequence of nested neighborhoods for the five-phase structure invariant $\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n$. The reciprocal vectors $h, k, l, m, n, p, q, r, s, t, u$ satisfy $h + k + l + m + n = h + k + l + p + q = h + k + l + r + s = h + k + l + t + u = 0$, but are otherwise arbitrary. In the applications it is best that $|E_h|, |E_k|, |E_l|, |E_m|, |E_n|, |E_p|, |E_q|, |E_r|, |E_s|, |E_t|, |E_u|$ be large.

Also (Hauptman, 1975a, b), according as the three magnitudes

$$|E_{1+m}|, |E_{1+n}|, |E_{m+n}| \quad (3.3)$$

are all large or all small, the structure invariant

$$\varphi_1 + \varphi_m + \varphi_n + \varphi_{h+k} \simeq 0 \text{ or } \pi, \quad (3.4)$$

respectively. Addition of (3.2) and (3.4) then yields

$$\varphi_h + \varphi_k + \varphi_1 + \varphi_m + \varphi_n \simeq 0 \text{ or } \pi \quad (3.5)$$

in the respective cases. In this way rows 1 and 11 of Table 1 are obtained. In a similar way the remaining rows of Table 1 are found.

Inspection of Table 1 shows that certain rows are mutually reinforcing. Thus rows 1-10 of Table 1 are combined to yield the first row of Table 2. The second row of Table 2 is obtained by combining the rows 11-14 of Table 1 which are mutually reinforcing; the seventh row of Table 2 is obtained by combining rows 11, 12 and 15 of Table 1, etc. Thus the second (15-magnitude) neighborhood of φ is obtained by adding to the five magnitudes (2.3) of the first neighborhood the additional ten magnitudes

$$|E_{h+k}|, |E_{h+l}|, |E_{h+m}|, |E_{h+n}|, |E_{k+l}|, |E_{k+m}|, |E_{k+n}|, |E_{l+m}|, |E_{l+n}|, |E_{m+n}|, \quad (3.6)$$

shown schematically as the second shell of Fig. 1. Furthermore, the value of φ is probably 0 or π in accordance with the entries of Table 2.

4. The third neighborhoods of the structure invariant $\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n$

Let \mathbf{p} and \mathbf{q} be arbitrary reciprocal vectors satisfying

$$\mathbf{h} + \mathbf{k} + \mathbf{l} + \mathbf{p} + \mathbf{q} = 0. \quad (4.1)$$

Then

$$\varphi_{pq} = \varphi_h + \varphi_k + \varphi_l + \varphi_p + \varphi_q \quad (4.2)$$

is a structure invariant and, in view of (2.1),

$$\mathbf{m} + \mathbf{n} - \mathbf{p} - \mathbf{q} = 0 \quad (4.3)$$

so that

$$\psi_{pq} = \varphi_m + \varphi_n - \varphi_p - \varphi_q \quad (4.4)$$

is also a structure invariant. In view of § 3, φ is estimated by means of the 15 magnitudes (2.3) and (3.6) in its second neighborhood, φ_{pq} by means of the 15 magnitudes in its second neighborhood,

$$|E_h|, |E_k|, |E_l|, |E_p|, |E_q|; |E_{h+k}|, |E_{h+l}|, |E_{h+p}|, |E_{h+q}|, |E_{k+l}|, |E_{k+p}|, |E_{k+q}|, |E_{l+p}|, |E_{l+q}|, |E_{p+q}|, \quad (4.5)$$

Table 1. *The probable values of the structure invariant $\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n$; L means large, S means small*

Row	φ	Magnitudes $ E $																
		h	k	l	m	n	$\frac{h}{h+k}$	$\frac{h}{h+l}$	$\frac{h}{h+m}$	$\frac{h}{h+n}$	$\frac{l}{l+k}$	$\frac{l}{l+m}$	$\frac{l}{l+n}$	$\frac{m}{m+k}$	$\frac{m}{m+l}$	$\frac{m}{m+n}$		
1	0	L	L	L	L	L	L									L	L	L
2	0	L	L	L	L	L	L	L								L	L	L
3	0	L	L	L	L	L	L	L								L	L	L
4	0	L	L	L	L	L	L	L								L	L	L
5	0	L	L	L	L	L	L	L								L	L	L
6	0	L	L	L	L	L	L	L								L	L	L
7	0	L	L	L	L	L	L	L								L	L	L
8	0	L	L	L	L	L	L	L								L	L	L
9	0	L	L	L	L	L	L	L								L	L	L
10	0	L	L	L	L	L	L	L								L	L	L
11	π	L	L	L	L	L	L	L								S	S	S
12	π	L	L	L	L	L	L	L								S	S	S
13	π	L	L	L	L	L	L	L								S	S	S
14	π	L	L	L	L	L	L	L								S	S	S
15	π	L	L	L	L	L	L	L								S	S	S
16	π	L	L	L	L	L	L	L								S	S	S
17	π	L	L	L	L	L	L	L								S	S	S
18	π	L	L	L	L	L	L	L								S	S	S
19	π	L	L	L	L	L	L	L								S	S	S
20	π	L	L	L	L	L	L	L								S	S	S

Table 2. *The probable values of the structure invariant $\varphi = \varphi_h + \varphi_k + \varphi_l + \varphi_m + \varphi_n$, given the 15 magnitudes in its second neighborhood; L means large, S means small; obtained by combining reinforcing rows in Table 1*

Derived from Rows of Table 1	φ	Magnitudes $ E $															
		h	k	l	m	n	$\frac{h}{h+k}$	$\frac{h}{h+l}$	$\frac{h}{h+m}$	$\frac{h}{h+n}$	$\frac{l}{l+k}$	$\frac{l}{l+m}$	$\frac{l}{l+n}$	$\frac{m}{m+k}$	$\frac{m}{m+l}$	$\frac{m}{m+n}$	
1-10	0	L	L	L	L	L	L	L	L	L	L	L	L	L	L	L	L
11, 12, 13, 14	π	L	L	L	L	L	L	L	L	L	S	S	S	S	S	S	S
11, 15, 16, 17	π	L	L	L	L	L	L	S	S	S	L	L	L	S	S	S	S
12, 15, 18, 19	π	L	L	L	L	L	L	S	L	S	S	L	S	S	L	S	L
13, 16, 18, 20	π	L	L	L	L	L	L	S	S	L	S	S	L	S	L	S	L
14, 17, 19, 20	π	L	L	L	L	L	L	S	S	S	L	S	S	L	S	L	L
11, 12, 15	π	L	L	L	L	L	L	L	S	S	L	S	S	S	S	S	S
11, 13, 16	π	L	L	L	L	L	L	S	L	S	S	L	S	S	S	S	S
11, 14, 17	π	L	L	L	L	L	L	S	S	L	S	S	L	S	S	S	S
12, 13, 18	π	L	L	L	L	L	L	S	L	L	S	S	S	S	L	S	S
12, 14, 19	π	L	L	L	L	L	L	S	L	S	L	S	S	S	S	L	S
13, 14, 20	π	L	L	L	L	L	L	S	S	L	L	S	S	S	S	S	L
15, 16, 18	π	L	L	L	L	L	L	S	S	S	L	L	S	L	S	S	S
15, 17, 19	π	L	L	L	L	L	L	S	S	S	L	S	L	S	L	S	S
16, 17, 20	π	L	L	L	L	L	L	S	S	S	S	L	L	S	S	L	S
18, 19, 20	π	L	L	L	L	L	L	S	S	S	S	S	S	L	L	L	S

in its second neighborhood, and χ_{rs} by the seven magnitudes

$$|E_p|, |E_q|, |E_r|, |E_s|; |E_{p+q}|, |E_{p-r}|, |E_{p-s}| \quad (5.9)$$

in its second neighborhood. Now, in addition to the identity (4.7) there are the two additional identities

$$\varphi - \varphi_{rs} - \psi_{rs} \equiv 0 \quad (5.10)$$

and

$$\varphi_{pq} - \varphi_{rs} - \chi_{rs} \equiv 0 \quad (5.11)$$

which must be satisfied. Hence, in view of (5.7)–(5.9), the fourth (37-magnitude) neighborhood of φ is obtained from the 25-magnitude third neighborhood (2.3), (3.6) and (4.9) by adjoining the additional twelve magnitudes shown in the fourth shell of Fig. 1,

$$|E_r|, |E_s|, |E_{h+r}|, |E_{h+s}|, |E_{k+r}|, |E_{k+s}|, |E_{l+r}|, \\ |E_{l+s}|, |E_{m-r}|, |E_{m-s}|, |E_{p-r}|, |E_{p-s}|, \quad (5.12)$$

where \mathbf{r} and \mathbf{s} are arbitrary reciprocal vectors satisfying (5.1). Hence there are many fourth neighborhoods, but only those are useful for which $|E_r|$ and $|E_s|$ are large.

6. The remaining neighborhoods

One continues as in §§ 4 and 5 to derive the fifth and higher neighborhoods of φ . Thus the fifth (51-magnitude) neighborhoods are obtained from a fourth (37-magnitude) neighborhood by adjoining the 14 additional magnitudes

$$|E_t|, |E_u|, |E_{h+t}|, |E_{h+u}|, |E_{k+t}|, |E_{k+u}|, \\ |E_{l+t}|, |E_{l+u}|, |E_{m-t}|, |E_{m-u}|, |E_{p-t}|, \\ |E_{p-u}|, |E_{r-t}|, |E_{r-u}| \quad (6.1)$$

where \mathbf{t} and \mathbf{u} are reciprocal vectors which satisfy

$$\mathbf{h} + \mathbf{k} + \mathbf{l} + \mathbf{t} + \mathbf{u} = 0 \quad (6.2)$$

but are otherwise arbitrary, so that again there are

many fifth neighborhoods; but only those are useful for which $|E_t|$ and $|E_u|$ are both large.

One continues in this way to obtain the sixth (67-magnitude) neighborhoods, *etc.* The first five of this sequence of nested neighborhoods are conveniently exhibited in Fig. 1 in which the five magnitudes of the first shell define the first neighborhood, the 15 magnitudes of the first two shells the second neighborhood, *etc.*

7. Concluding remarks

A sequence of nested neighborhoods of the quintet invariant φ has been obtained. There remains the task of deriving the associated conditional probability distributions and corresponding estimates for φ in the expectation that as more and more magnitudes $|E|$ are used the potential for obtaining more and more reliable estimates will be increased. In the accompanying papers (Fortier & Hauptman, 1977; Hauptman & Fortier, 1977) this task is begun in the space group $P1$ for the second neighborhood. It remains to derive analogous distributions in the remaining space groups and for the higher neighborhoods.

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